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## STATE ETHICS COMMISSION

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January 25, 2012

TO: Financial Disclosure Filers

SUBJECT: Financial Disclosure of Liabilities to Persons Doing Business with the State  
(Schedule F of the Financial Disclosure Form)

The State Ethics Law requires that a filer list on Schedule F of the Financial Disclosure Form all indebtedness, excluding credit accounts, owed at any time during the reporting year to persons doing business with the State. Doing business with the State includes entities involved in lobbying, entities regulated by the filer's agency, and entities involved in sales, purchases, leases or contracts with the State of at least \$5,000.

Below is a listing of financial entities doing business with the State. The State Ethics Commission maintains a more extensive list of entities that may also be involved in lending or other activities. If you owed money to any of these financial institutions during the reporting period, you must disclose the details on **Schedule F**. If you need any further assistance, please do not hesitate to call our office.

### **FINANCIAL INSTITUTIONS DOING BUSINESS WITH THE STATE - 2011**

1st Mariner Bank	BB&T Capital Markets
1st Preference Mortgage	Beasley Financial Services Company
Advance Bank	Blaylock Robert Van, LLC
AgFirst Farm Credit Bank	BNP Paribas Securities Corp.
Ahold Financial Services	Brown Advisory Securities
Aladdin Capital Holdings, LLC	C & F Mortgage Corp.
All Points Public Funding, LLC	Cabrera Capital Markets
Allied Mortgage Group	Cantor Fitzgerald & Company
Ancora Securities, Inc.	Capital One Bank
Avaya Financial Services	Carolina Capital Markets
Banc of America	Carrollton Bank
Bank of America	Castleoak Securities, LP
Bank of America Merrill Lynch	Chapin Davis
Bank of Annapolis	Charles Schwab & Company, Inc.
Bank of Delmarva	Chevy Chase Bank
Bank of New York Mellon	Citi Capital
Barclays Capital	Citibank
BB&T (Branch Banking & Trust Company)	CitiCorp

Citigroup Global Markets Inc.  
Citimortgage, Inc.  
CNB (formerly Centreville National Bank)  
Columbia Bank  
Commerce Bank  
Commerce Capital Markets  
Community Bank of Tri-County  
Corridor Mortgage Group, Inc.  
Credit Suisse Securities, LLC  
Crestar Bank  
CRT Capital Group, LLC  
D. A. Davidson & Company  
Daiwa Capital Markets America Inc.  
Davenport & Company, LLC  
De La Rosa Company  
De Lage Landen Financial Services  
Deutsche Bank  
Dexia Credit Local  
Drexel Hamilton  
Embrace Home Loans  
Equifax Mortgage Solutions  
Farmers & Merchants Bank  
Federated Securities Corporation  
Fidelity Capital Markets  
Fidelity Investments  
Finacorp Securities  
First Commonwealth Bank  
First Empire Securities  
First Home Mortgage Corporation  
First Merchants Bank  
First United National Bank & Trust  
Folger Nolan Fleming Douglas, Inc.  
Foothill Securities, Inc.  
Foreman Financial Group  
FRS Securities  
FTN Financial  
FTN Financial Capital Markets  
Gilford Securities, Inc.  
Gleacher & Company Securities, Inc.  
Goldman Sachs & Company  
Goode Investment Management, Inc.  
Grant Capital Management, Inc.  
Great Pacific Securities  
Greentree Brokerage Securities  
Grigsby & Associates, Inc.  
GRW Capital Corporation  
Gryphon Asset Management  
Hapoalim Securities USA, Inc.  
Harbor Bank of Maryland  
Hopkins Federal Savings Bank  
HSBC Bank  
HSBC Securities (USA) Inc.  
Huntington Mortgage Group  
Incapital, LLC  
Industrial Bank, Inc.  
Industrial Bank of Washington  
International Financial Solutions, Inc.  
Jackson Securities  
Janney Montgomery Scott, LLC  
Jefferies & Company, Inc.  
JP Morgan  
JP Morgan Asset Management  
JP Morgan Chase Bank, N.A.  
JP Morgan Securities LLC  
JPPM Working Fund  
JVB Financial Group LLC  
Kaufman Bros., LP  
Lafayette Investments, Inc.  
Legg Mason Investment Advisors  
Loop Capital Markets, LLC  
M&T Bank (Manufacturers & Traders Trust Co.)  
M&T Investment Group  
M&T Securities, Inc.  
M. F. Global, Inc.  
M. R. Beal & Company  
Maryland Capital Enterprises  
Mellon Bank  
Mellon Financial Markets, LLC  
Merrill Lynch & Company  
Merrill Lynch, Pierce, Fenner & Smith, LLC  
Mesirow Financial, Inc.  
MFR Securities, Inc.  
Midwest Bank Note Company  
Miners & Mechanics Bank  
Mischler Financial Group  
Mizuho Securities USA, Inc.  
Monarch Bank  
Morgan Keegan & Company, Inc.  
Morgan Stanley & Company, Inc.  
Multi-Bank Securities, Inc.  
National Bank of Cambridge, The  
National Trust  
NBRF Financial Bank  
Nomura Securities International, Inc.  
Northeast Securities  
Northern Bank Note Company  
NVR Mortgage Finance, Inc.  
Official Payments Corp.  
Old Line Bank (formerly Maryland Bank & Trust)  
Oppenheimer & Company, Inc.  
Pacific American Securities, LLC  
Peoples Bank of Elkton

Peoples Bank, The  
Percival Financial Partners  
Piper Jaffray  
PNC Bank, N.A.  
Presidential Bank  
Prospect Mortgage  
Public Financial Management  
Quoin Capital, LLC  
Raymond James & Associates, Inc.  
RBC Capital Markets  
RBS Greenwich Capital Markets, Inc.  
RBS Securities, Inc.  
Rice Financial Products Co. (Apex Pryor Sec.)  
Robert Baird & Company, Inc.  
Ryland Mortgage  
Sallie Mae  
Samuel A. Ramirez & Company  
Sandy Spring Bank  
SBK Brooks Investment Corporation  
SECU (State Employees Credit Union)  
Seibert, Branford Shanke Company, LLC  
SG Americas Securities, LLC  
South Street Securities, LLC  
Southern Trust Mortgage  
Southwest Securities, Inc.  
Sovereign Securities Corporation, LLC  
Stanford Group Company  
State Street Bank  
Sterne Agee & Leach, Inc.  
Stifel Nicolaus & Company, Inc.  
Stone & Youngberg, LLC  
Sumitomo Mitsui Bank Corp.  
Sun Trust Robinson Humphrey, Inc.  
SunTrust Bank Mid-Atlantic  
SunTrust Bank, Inc.  
SunTrust Merchant Services  
SunTrust Mortgage, Inc.  
Susquehanna Bank  
T. Rowe Price  
Talbot Bank of Easton, The  
Target Bank  
TD Bank, N.A.  
TD Securities (USA), LLC  
Toshiba Financial Services  
Toussaint Capital Partners  
U.S. Bank  
U.S. Bank Corporate Trust Services  
UBS Securities, LLC (Paine Weber)  
United Bank  
Utendahl Capital Partners L.P.  
Vining Sparks IBG, L.P.

VSR Financial Services  
Wachovia Bank (Prudential Securities)  
Wall Street Access  
Washington Securities Corporation  
Weller, Anderson & Company, Ltd.  
Wells Fargo Advisors  
Wells Fargo Bank, N.A.  
Wells Fargo Brokerage Services  
William Smith & Company  
Williams Capital Group, LP  
Williams Capital Management  
Xavier Capital Management