

STATE OF MARYLAND
STATE ETHICS COMMISSION
45 Calvert Street, 3rd Floor
Annapolis, Maryland 21401
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**BOARD AND COMMISSION MEMBERS
FINANCIAL DISCLOSURE STATEMENT - FORM #2**

Regular Reporting Period: January 1 through December 31, **2011**
or
Termination Report: January 1 through _____, 2012

PART I. IDENTIFYING INFORMATION

FIRST NAME	INITIAL	LAST NAME
NAME OF BOARD OR COMMISSION		
DEPARTMENT (IF APPLICABLE)		
ADDRESS OF BOARD OR COMMISSION		
E-MAIL ADDRESS		

PART II. SIGNATURE AND NOTARIZATION

This financial disclosure statement describes all interests and related transactions and matters required to be disclosed by State Government Article, Title 15, Subtitle 6 of the Maryland Public Ethics Law with respect to the period indicated and pertaining to the person filing the statement.

I hereby make oath or affirm under the penalties of perjury that the contents of this financial disclosure statement, are complete, true and correct to the best of my knowledge, information and belief.

(SEAL) Signature of Person Filing: _____
Date: _____
Sworn to before me this _____ day of _____
Signature of Notary Public: _____
Printed/Typed Name of Notary Public: _____
My Commission Expires: _____

Privacy Notice

The Public Ethics Law (State Government Article, Title 15), Annotated Code of Maryland) requires the collection of this information, which will be used primarily for public disclosure and to determine compliance with the Law. The information may be disclosed to any requesting person, including officials of State, local or federal government, who records their name and address, and this record will be provided to the filer upon request. The subject has the right to review, correct and amend the record as set forth in the Public Ethics Law, Md. Code Ann., State Gov't § 10-625 (Supp. 2004). Failure to file or to report information required by Public Ethics Law §15-607 can subject you to civil and administrative penalties including termination or other disciplinary action, suspension of pay, a late filing fee up to \$250, and a civil fine of up to \$5,000 per day. Willful and false filing is subject to criminal penalty for perjury pursuant to Criminal Law Article §9-101, Annotated Code of Maryland.

Form 2 – Board and Commission Members

Schedule A – Real Property Interests

Do you have any interest in real property in Maryland or in any other state or country that meets any one of the following criteria:

- A. Property subject to a lease or other contract with your board or commission;
- B. Property that is the subject of a lease or contract with your board or commission or is regulated or licensed by the board or commission;
- C. Property where a Co-owner has a contract with your board or commission;
- D. Property that was negotiated for sale or lease or sold or leased to your board or commission during the reporting period;
- E. Property that you plan to offer for lease or sale to your board or commission;
- F. Property that the filer purchased from or sold to a person that has a contract with his board or commission, or is regulated or licensed by the board or commission;
- G. Property that is regulated by your board or commission.

Yes

No (Go to Schedule B)

If Yes; (Answer each question below. A separate Schedule A will be required for each property you need to disclose.)

Real Property Interests. Report all SUCH interests in real property, wherever located (including rental property or other leasehold interests) held at any time during the reporting period. All partnership, limited liability partnership, or limited liability company interests in real estate are to be reported on this schedule. See Instructions for definition of interests of other persons attributable to you.

1. What is the address or legal description of the property? (Give Street Address, if you know it. If the property is your primary residence, you may enter the lot and block legal description instead, if you wish)

Street Address _____

City/State/Zip _____

2. What kind of property is it?

Improved (indicate whether it is residential or commercial property): _____

Unimproved (vacant lot): _____

3. Is the interest held directly by you or is it attributable to you? (See Paragraph G of the Instructions for definition of attributable.)

Direct _____ Attributable _____

4. Are you the owner or tenant?

Owner _____ Tenant _____

5. Do you hold the interest solely or is it jointly held with another?

Solely_____ Jointly_____ Tenants by the Entirety_____

If held jointly, the name(s) of the other joint owner(s): _____

If held by Tenants by the Entirety, the name of your spouse: _____

6. Check the range representing the consideration paid or the fair market value at the time the property was acquired (if not by purchase), or annual rent for property under lease.

___ Under \$1,000

___ \$1,000 to \$9,999

___ \$10,000 to \$24, 999

___ \$25,000 to \$49,999

___ \$50,000 and over

7. Have you transferred any interest in this property during the reporting period?

___ Yes

___ No

If Yes;

7.A. What percentage of interest, if less than all, did you transfer: _____%

7.B. What was the date of the transfer: _____

If you have any additional interests in real property in Maryland, any other state or any other country, please use additional sheet(s), if necessary, and respond to each above question for each such entry.

Schedule B – Interests in Corporations (Including Limited Liability Corporations (LLCs))

Did you have any interest in any entities, partnerships, limited liability partnerships (LLP) or limited liability companies (LLC) during the reporting period that met the following criteria:

- A. interests of greater than 1 percent in entities regulated or licensed by your board or commission;
- B. interests of greater than 1 percent in entities negotiating a contract or having a contract with your board or commission that involve doing business with the State in the amount of \$5,000 or more annually;
- C. interests of greater than 1 percent in entities that do business with your board or commission;
- D. interests of 20 percent or greater in entities in which an interest is also owned by a person regulated by, contracting with (in an amount of \$5,000 or more annually), licensed by, or lobbying before your board or commission; or
- E. interests of 20 percent or greater in entities that own a direct financial interest in another entity regulated by, contracting with (in an amount of \$5,000 or more annually), licensed by, or lobbying before your board or commission?

Yes
 No (Go to Schedule C)

If Yes; (Answer each question below. A separate Schedule B will be required for each interest you need to disclose.)

1. What is the name and address of the entity?

Name: _____

Address: _____

City/State/Zip: _____

2. Is the interest held directly by you or is it attributable to you?

Directly: _____ Attributable: _____

3. Do you hold the interest in your name solely, or is it held jointly with another?

In your name solely: _____ Jointly: _____

If jointly, the % of your joint interest: _____%

5. What is the type of interest and its dollar value or the number of shares? (Example: stock, notes, bonds, puts, calls, straddles, purchase options, etc.)

Type: _____

6. If in a non-publicly traded entity or LLP or LLC, select the percentage of ownership.

Percentage of ownership: _____%

7. If in a publicly traded entity or LLP or LLC, indicate either the number of shares or the range of the value of the interest:

Number of Shares: _____ or

Value of Shares:

____ Under \$1,000

____ \$1,000 to \$9,999

____ \$10,000 to \$24, 999

____ \$25,000 to \$49,999

____ \$50,000 and over

8. Did you transfer all or part of your holdings during the reporting period?

____ Yes

____ No

If Yes;

8A. What portion of the interest was transferred if less than all? _____ %

8B. Date the interest was transferred: _____

If you have additional interests in corporations or partnerships, please use additional sheet(s) if necessary, and answer each of the above questions for each additional entry.

Schedule C – Interests in Non-Corporate Business

Do you have any interest in any non-corporate business entity that did business with your board or commission that meets any one of the following criteria:

- A. interests of greater than 1 percent in entities regulated or licensed by your board or commission;
- B. interests of greater than 1 percent in entities negotiating a contract or having a contract with your board or commission that involve doing business with the State in the amount of \$5,000 or more annually;
- C. interests of greater than 1 percent in entities that do business with your board or commission;
- D. interests of 20 percent or greater in entities in which an interest is also owned by a person regulated by, contracting with (in an amount of \$5,000 or more annually), licensed by, or lobbying before your board or commission; or
- E. interests of 20 percent or greater in entities that own a direct financial interest in another entity regulated by, contracting with (in an amount of \$5,000 or more annually), licensed by, or lobbying before your board or commission?

Yes

No (Go to Schedule D)

If Yes; (Answer each question below. A separate Schedule C will be required for each interest you need to disclose.)

1. Name and address of the principal office of the business entity?

Name: _____

Address: _____

City/State/Zip: _____

2. Is the interest held directly by you or is it attributable to you?

Direct: _____ Attributable: _____

3. Do you hold the interest solely or is it jointly held with another?

Solely: _____ Jointly: _____

4. If jointly, the percentage of your joint interest: _____%

5. Indicate the value of the interest:

Under \$1,000

\$1,000 to \$9,999

\$10,000 to \$24,999

\$25,000 to \$49,999

\$50,000 and over

6. Did you transfer all or any part of your interest in the entity during the reporting period?

Yes

No

If yes:

6A. What percentage of interest, if less than all, was transferred? _____%

6B. The date the interest transferred: _____

If you have additional interests in sole proprietorship(s) that did business with the State during the reporting year, please use additional sheet(s) if necessary, and answer each of the above questions for each additional entry.

Schedule D – Gifts

During the reporting period, did you receive a gift in excess of a value of \$50 or a series of gifts from the same donor with a cumulative value of \$100 or more from or on behalf of, directly or indirectly, any person who does business with or is regulated by your board or commission or is registered or required to register before your board or commission under the lobbying title of the Public Ethics Law? You need not report the following gifts: 1) from your spouse, children or parents or 2) campaign contributions.

- Yes
- No (Go to Schedule E)

If Yes; (Answer each question below. A separate Schedule D will be required for each gift you need to disclose.)

1. Who gave you the gift? _____
2. What was the nature of the gift? (Example: cash, restaurant meal, theater tickets, book, etc.)

3. What was the value of the gift? \$_____
4. If the gift was given to someone else at your direction, the identity of the recipient of the gift: _____

Please use additional sheet(s), if necessary, for any additional entries.

Schedule E – Officers, Directorships, Salaried Employment and Similar Interests

During the reporting period, did you or any member of your immediate family have any salaried employment or hold any office or directorship in an entity that did business with your board or commission?

- Yes
- No (Go to Schedule F)

If Yes; (Answer each question below. A separate Schedule E will be required for each interest you need to disclose.)

1. What is the name and address of the business entity?

Name of entity: _____

Address: _____

City/State/Zip: _____

2. What is the title of the office held? (Example: limited partner, director, treasurer, chair of the board of trustees, etc.)

3. Who was the individual who held the position or interest listed above?

Self: _____ Spouse: _____ Dependent child: _____

Name of spouse or dependent child: _____

4. Date the relationship began: _____

5. What was the category of the business? (Example: regulated by your board or commission, registered under the lobbying law, or involved with sales and contracts with your board or commission.)

If necessary, please use additional sheet(s) for any additional entries.

Schedule F – Debts You Owe

During the reporting period, did you owe a debt (excluding a retail credit account) to a financial entity that did business with your board or commission?

- Yes
- No (Go to Schedule G)

If Yes; (Answer each question below. A separate Schedule F will be required for each debt you need to disclose.)

1. To whom did you owe the debt? (Do not include consumer credit debts)

2. Date the debt was incurred: _____

3. What are the interest rate and terms of payment of the debt?

Interest Rate _____

Terms: _____

4. What was the amount the of debt as of the end of the reporting period. If debt existed during the reporting period but was paid in full at the end of the period, put \$0.

- Under \$1,000
- \$1,000 to \$9,999
- \$10,000 to \$24, 999
- \$25,000 to \$49,999
- \$50,000 and over

5. Was any security given for the debt?

- Yes
- No

If Yes;

6. Please describe the type of security given.

If necessary, please use additional sheet(s) for any additional entries.

Schedule G – Family Members Employed by the Board or Commission

During the reporting period, were any members of your immediate family (spouse or dependent children) employed by your board or commission in any capacity?

Yes

No (Go to Schedule H)

If Yes; (Answer each question below. A separate Schedule G will be required for each member you need to disclose.)

1. What is the relation and name of the immediate family member employed by your board or commission?

Name: _____ Relationship: _____

2. What was the title of your immediate family member’s position with your board or commission during the reporting period?

If necessary, please use additional sheet(s) for any additional entries.

Schedule H – Employment/Business Ownership

During the reporting period, did you or any member of your immediate family, hold any position of salaried employment, or wholly own a business entity? Or, during the reporting period, did you or any member of your immediate family, partially own a business entity that did business with your board or commission?

Yes

No (Go to Schedule I)

If Yes; (Answer each question below. A separate Schedule H will be required for each income you need to disclose.)

1. If, during the reporting period, you or a member of your immediate family had employment from which you or they earned income, list the relationship, name, and address of the employment.

Name: _____

Relationship: _____

Name of Employer: _____

Address: _____

City/State/Zip: _____

2. If, during the reporting period, you or a member of your immediate family wholly owned any business entity from which income was earned, list the relationship, name and address of the business entity.

Name: _____

Relationship: _____

Name of Business Entity: _____

Address: _____

City/State/Zip: _____

3. If, during the reporting period, you or a member of your immediate family partially owned any business entity from which income was earned from the filer's board or commission, list the relationship, name and address of the business entity.

Name: _____

Relationship: _____

Name of Business Entity: _____

Address: _____

City/State/Zip: _____

If necessary, please use additional sheet(s) for any additional entries.

Schedule I – Other

Is there any additional information or interest you would like to include?

STANDARDS OF CONDUCT

The Maryland Public Ethics Law includes standards of conduct applicable to financial disclosure filers and other State employees. The standards address disqualification from participation, prohibited secondary employment, prohibited ownership interests, misuse of position, prohibited solicitation and acceptance of gifts, misuse of confidential information, post-employment limitations, prohibited dealings with the State, and procurement specifications assistance restrictions. The Law provides for exceptions and exemptions under certain circumstances.

Filers wanting more detailed information about these requirements should contact the offices of the State Ethics Commission.

NO REPORTABLE
INTEREST OR
ACTIVITIES.

Complete this certification only if you have carefully reviewed this Statement and its instructions. Read the requirements of Schedule H very carefully as you must disclose any salaried employment held by you, your spouse, or dependent child even if the employer does not do business with your board or with the State.

I have thoroughly reviewed Schedules A through I of this Statement and the accompanying instructions, and hereby certify that I have no interests or employment required to be reported on any Schedules.

Signature of Person Filing